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PTO/SB/17 (01-03)  
Approved for use through 04/30/2003. OMB 0851-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT \$1,388.00**

Complete if Known

Application Number **09/963,381**  
Filing Date **September 27, 2001**  
First Named Inventor **Wenling WANG, et al.**  
Examiner Name **T. HOANG**  
Group / Art Unit **3742**  
Attorney Docket No. **33082 M 103**

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number

**02-4300**

Deposit  
Account  
Name

**Smith, Gambrell & Russell, LLP**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					<b>(\$) 0</b>

### 2. EXTRA CLAIM FEES

Total Claims **24** = **24** = **0** X **\$18.** =   
Independent Claims **8** = **5** = **3** X **\$86.** = **\$258.**  
Multiple Dependent  X  =

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$258.00**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	<b>\$180.</b>
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

**\$1,130.00**

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) **Michael A. Makuch** Registration No. Attorney/Agent **32,263** Telephone **202-263-4300**  
Signature Date **January 27, 2004**

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FEB 03 2004  
TECHNOLOGY CENTER R3740

Attorney Dock  
33082 MN 103



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CONFIRMATION NO. 9454

Applicants : Wenling Wang, et al.

U.S. Serial No.: 09/963,381

Group Art Unit: 2812

Filed: : September 27, 2001

Examiner: Hoang Tu BA

For : HEAT TREATMENT APPARATUS AND CONTROLLER FOR HEAT  
TREATMENT APPARATUS AND CONTROL METHOD FOR HEAT  
TREATMENT APPARATUS

SUPPLEMENT TO REQUEST UNDER 37 CFR §1.48  
TO ADD JOINT INVENTORS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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FEB 03 2004

TECHNOLOGY CENTER R3700

Sir :

On May 15, 2003, Applicants filed their Request Under 37 CFR §1.48 To Add Joint Inventors with the U.S. Patent and Trademark Office. However, the identifying information listed on the paper entitled Request Under 37 CFR §1.48 To Add Joint Inventors was incorrect. The identifying information (applicant, serial no., filing date, title) was correct on all papers except the Request. Applicants are therefore resubmitting copies of the above documents. By this Supplement, Applicants reaffirm their formal request for inventorship correction. A check for \$170 (check no. 60576) was previously submitted to cover the assignment recordation fee and the processing fee set forth in 37 C.F.R. §1.17(i). Therefore, Applicants believe that no further fees are necessary.

It still is respectfully requested that the above-identified application be amended to add Sunil Shah and Pradeep Pandey as joint inventors. The application, through error without deceptive intent, names less than all of the co-inventors.


In support of Applicants' Request Under 37 CFR §1.48 To Add Joint Inventors,  
Applicants have submitted the following:

1. Consent of Assignee to Add Inventors;
2. Statement Under 37 CFR 3.73(b) with copy of executed Assignment
3. Statement Under 37 CFR 1.48(a) (Sunil Shah);
4. Statement Under 37 CFR 1.48(a) (Pradeep Pandey);
5. Executed Declaration; and
6. Assignment Recordation Cover Sheet with executed Assignment.

In accordance with the foregoing statements and documents, it is submitted that  
amendment to inventorship be entered, and that Sunil Shah and Pradeep Pandey be added  
as named co-inventors in the above-identified patent application.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

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Date: January 27, 2004